

FORM PTO-1449
OCT 15 2001
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(use as many sheets as necessary)

Attorney Docket Number	39293/JEC/X2
Application Number	09/592,079 #4
Filing Date	June 12, 2000
Applicant(s)	Shanker V. Iyer, et al.
Group Art Unit	2756
Examiner Name	to be assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS

EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
lw	PCT Search Report for PCT corresponding application, Application No. PCT/US00/16246, including two cited articles
lw	Pohlmann, N., "Sichere It-Loesungen," Net - Zeitschrift Fuer Kommunikationsmanagement, Huthig Verlag, Heilderberg, DE, Vol. 51, No. 8/09, 1997, pp. 34-37, XP000720702
lw	Sun, N., "Internal Firewalls Can Protect Subnetworks form Unauthorized Access," Computer Technology Review, Westworld Production Co.: Los Angeles, Vol. 17, No. 6, June 1, 1997, pp 14,16, 18, XP000740492

EXAMINER SIGNATURE	<i>ly-cl wj</i>	DATE CONSIDERED	8/26/2003
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DAA PAS387098.1-* 10/11/01 3:10 PM

<p>FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)</p>	Attorney Docket Number	39293/JEC/X2/134892
	Application Number	09/592,079
	Filing Date	June 12, 2000
	Applicant(s)	Shanker V. Iyer et al.
	Group Art Unit	2756 2155
	Examiner Name	to be assigned WANG

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS					
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
fw		<i>Common Information Model (CIM) Specification</i> ; Specification; Version 2.2; Distributed Management Task Force, Inc.; June 14, 1999; pp-1-97			
fw		<i>Directory-enabled Networks, Information Model and Base Schema</i> ; Version 3.0c5; pp. 1-113			
fw		<i>DMTF LDAP Schema for the CIM v2.4 Core Information Model v1.0</i> , May 6, 2002; DMTF Specification, DSP0117; Distributed Management Task Force, Inc. (DMTF) 2000; pp. 1-55			
fw		<i>Dynamic Host Configuration Protocol (DHCP) Service</i> ; Version 0.0-1; February 18, 1998; 7 pp.			
fw		<i>Network Services - Internet Protocol Security</i> ; Version 0.0-2; February 17, 1998; 7 pp.			
fw		<i>Signaled Quality of Service</i> ; Version 0.0-14; January 12, 1998; 6 pp.			
fw		<i>Simple Network Management Protocol</i> ; posted February 20, 2002; pp. 1-10			
fw		BISWAS, Debasish; <i>Application Class of Service Schemata</i> ; Berkeley Networks Inc.; February 19, 1998; pp. 1-7			

EXAMINER SIGNATURE	<i>S. Iyer</i>	DATE CONSIDERED	05/01/03
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FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B



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	June 12, 2000
	Shanker V. Iyer et al.
	2756 2155
	to be assigned WAN6

OTHER DOCUMENTS

EXAMINER INITIALS	CITE NO. ¹	INCLUDE NAME OF THE AUTHOR (IN CAPITAL LETTERS), TITLE OF THE ARTICLE, TITLE OF THE ITEM (BOOK, MAGAZINE, JOURNAL, SERIAL, SYMPOSIUM, CATALOG, ETC.), DATE, PAGE(S), VOLUME-ISSUE NUMBER(S), PUBLISHER, CITY AND/OR COUNTRY WHERE PUBLISHED.
fw		CASE, J. et al.; <i>Introduction to Version 3 of the Internet-standard Network Management Framework</i> ; Network Working Group, Request for Comments: 2570; April 1999; 20 pp.
fw		CASE, J. et al.; <i>A Simple Network Management Protocol (SNMP)</i> ; Network Working Group, Request for Comments: 1157; May 1990; 32 pp.
fw		CHAUDHURY, R. et al.; <i>Directory Schema for Service Level Administration of Differentiated Services and Integrated Services in Networks</i> ; pp. 1-17
fw		MOORE, B. et al.; <i>Information Model for Describing Network Device QoS Datapath Mechanisms</i> ; Policy Framework Working Group; Internet-Draft; Category: Standards Track; February, 2002; pp 1-90
fw		MOORE, B. et al.; <i>Policy Core Information Model -- Version 1 Specification</i> ; Network Working Group; Request for Comments: 3060; February 2001; pp. 1-100
fw		RAJAN, R. et al.; <i>A Simple Framework and Architecture for Networking Policy draft-rajan-policy-framework-00.txt</i> ; Internet Engineering Task Force; Internet Draft; May 23, 1999; 27 pp.
fw		RAJAN, R.; <i>Networking Policy Condition Information Model</i> ; Internet Engineering Task Force; Internet Draft; April 5, 1999; pp. 1-17
fw		RAJAN, R.; <i>Policy Action Classes for Differentiated Services and Integrated Services</i> ; Internet Engineering Task Force; April 5, 1999; pp. 1-23
fw		SNIR, Y. et al.; <i>Policy QoS Information Model</i> ; Policy Framework Working Group; November 2001; pp. 1-69

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	<i>Shanker V. Iyer</i>	05/01/03

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